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07-18-03

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masmas

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MICHAEL A. EVANS et al.

Application No.: 09/388,294

Filed: September 1, 1999

For: METHODS AND APPARATUS FOR ACCESSING AND TREATING

BODY LUMENS

Examiner: SIRMONS, K.

Art Unit: 3763

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TECHNOLOGY CENTER R3700

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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MICHAEL A. EVANS et an Application No.: 09/388,294

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

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bubstitute for form 1449/PTO Complete if Known **Application Number** 09/388,294 FORMATION DISCLOSURE Filing Date September 1, 1999 TATEMENT BY APPLICANT EVANS, MICHAEL A., et. al. **First Named Inventor** Art Unit 3763 (use as many sheets as necessary) **Examiner Name** SIRMONS, K. 19744P-000300US Attorney Docket Number Page

_		Document Number		i i	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
	AA	US-4,995,866	02-26-1991	Amplatz et al.	_
	AB	US-5,171,233	12-15-1992	Amplatz et al.	
	AC	US-5,591,226	01-07-1997	Trerotola et al.	
	AD	US-5,755,775	05-26-1998	. Trerotola et al.	
	AE	US-5,769,816	06-23-1998	Barbut et al.	

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Examiner	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
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	AF	Wo	97/17100	A1	05-15-1997	Barbut et al.		
	AG	WO	99/04701	A1	024-1999	McGluckin		
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(to be used for all co	rrespondence after ir	nitial filing)	Art Un	it	3763	
			Exami	ner Name	SIRMONS, K.	
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